



Date: 20/04/2023

To, <b>National Stock Exchange of India Limited (“NSE”)</b> , The Listing Department “Exchange Plaza”, 5 <sup>th</sup> Floor, Plot No. C/1, G Block, Bandra-Kurla Complex Bandra (East), Mumbai – 400 051.	To, <b>BSE Limited (“BSE”)</b> , Corporate Relationship Department, 2 <sup>nd</sup> Floor, New Trading Ring, P.J. Towers, Dalal Street, Mumbai – 400 001.
<b>NSE Symbol: SULA</b>	<b>BSE Scrip Code: 543711</b>
<b>ISIN: INE142Q01026</b>	<b>ISIN: INE142Q01026</b>

Sub: **Certificates under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Please find enclosed Certificate dated April 20, 2023 for the year ended March 31, 2023, from Martinho Ferrao & Associates, Practicing Company Secretary.

The Certificate have been issued in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, as amended.

Thanking you,  
**For Sula Vineyards Limited**

**Ruchi Sathe**  
**Company Secretary and Compliance Officer**  
**Membership No.: A33566**

Encl.: a/a



**Sula Vineyards Limited**

(formerly known as Sula Vineyards Private Limited)

**Regd. Office:** 901, Hubtown Solaris, N.S. Phadke Marg, Andheri (E), Mumbai 400069, Maharashtra, India.

Tel: 022-6128 0606/607 Fax: 022-2684 6064 Email: info@sulawines.com CIN: L15549MH2003PLC139352

**Winery:** Gat 36/2, Govardhan Village, Gangapur-Savargaon Road, Nashik 422 222, Maharashtra, India Tel: +91 253 3027777/701

www.sulavineyards.com

# MARTINHO FERRAO & ASSOCIATES



*Company Secretaries*

Level 3, Office # 301, Dhun Building, 23/25 Janmabhoomi Marg, Fort, Mumbai - 400 001

Tel: +91 22 2202 4366 Email: mferraocs@yahoo.com Website : www.csmartinhoandassociates.com

To,

**National Stock Exchange of India Limited**  
Exchange Plaza,  
Bandra Kurla Complex,  
Bandra (East), Mumbai 400051

**BSE Limited**  
Corporate Services,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400001

Dear Sir / Madam,

**Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015 for the year ended 31<sup>st</sup> March 2023**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Sula Vineyards Limited** maintained by **KFin Technologies Limited**, Registrars and Share Transfer Agents of the Company pertaining to transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of equity shares of the Company for the financial year ended **31<sup>st</sup> March 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- a. There was no requests received relating to physical transfer;
- b) has issued all share certificates in respect of request received for subdivision / consolidation and renewal /duplicate during the period 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023, within thirty days from respective date of lodgment of each request excepting those rejected on technical grounds.
- c) has not received any request for exchange or endorsement of calls/ allotment monies.

**For Martinho Ferrao & Associates**  
Company Secretaries

  
**Martinho Ferrao**  
Proprietor  
F.C.S. No. 6221  
C.P. No. 5676  
PR 951/2020  
UDIN: F006221E000151181



Place: Mumbai  
Date: 20<sup>th</sup> April, 2023