

ANNEXURE I

FORM NO. MGT 9

**EXTRACT OF ANNUAL RETURN
as on financial year ended on 31.03.2020**

[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014]

I REGISTRATION & OTHER DETAILS:

i	CIN	U15549MH2003PTC139352
ii	Registration Date	26.02.2003
iii	Name of the Company	Sula Vineyards Private Limited
iv	Category/Sub-category of the Company	Company having share capital
v	Address of the Registered office & contact details	901, Hubtown Solaris, N.S. Phadke Marg, Andheri (East), Mumbai - 400 069
vi	Whether listed company	No
vii	Name , Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Private Limited 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis Apartments (Next To Keys Hotel),Marol Maroshi Road, Andheri East, Mumbai – 400059.

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Manufacture of wines	11020	73.37%
2	Traded goods	46308	12.65%

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES

SI No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
1	Artisan Spirits Private Limited 901, Hubtown Solaris, N.S Phadke Marg, Andheri (East), Mumbai 400 069	U15122MH2011PTC222280	Subsidiary Company	100.00%	2(87)
2	Progressive Alcobev Distributors Private Limited Flat No. 101, Plot No. 4A & 4B Sec-26, Progressive Viva, Vashi, Navi Mumbai, Thane - 400705	U74120MH2011PTC214013	Subsidiary Company	51.00%	2(87)
3	Sula Intenational Limited 6th Floor 2 London Wall Place, London, England EC2Y 5 AU	12053984	Subsidiary Company	100.00%	2(87)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	4881893	0	4881893	32.53%	4914393	0	4914393	32.67%	0.14%
b) Central Govt.or State Govt.	0	0	0	0%	0	0	0	0%	0%
c) Bodies Corporates	0	0	0	0%	0	0	0	0%	0%
d) Bank/FI	0	0	0	0%	0	0	0	0%	0%
e) Any other	0	0	0	0%	0	0	0	0%	0%
SUB TOTAL:(A) (1)	4881893	0	4881893	32.53%	4914393	0	4914393	32.67%	0.14%
(2) Foreign									
a) NRI- Individuals	0	0	0	0%	0	0	0	0%	0%
b) Other Individuals	0	0	0	0%	0	0	0	0%	0%
c) Bodies Corp.	0	0	0	0%	0	0	0	0%	0%
d) Banks/FI	0	0	0	0%	0	0	0	0%	0%
e) Any other...	0	0	0	0%	0	0	0	0%	0%
SUB TOTAL (A) (2)	0	0	0	0%	0	0	0	0%	0%
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	4881893	0	4881893	32.53%	4914393	0	4914393	32.67%	0.14%
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	0	0	0	0%	0	0	0	0%	0%
b) Banks/FI	0	0	0	0%	0	0	0	0%	0%
c) Central govt	0	0	0	0%	0	0	0	0%	0%
d) State Govt.	0	0	0	0%	0	0	0	0%	0%
e) Venture Capital Fund	0	0	0	0%	0	0	0	0%	0%
f) Insurance Companies	0	0	0	0%	0	0	0	0%	0%
g) FIIS	0	0	0	0%	0	0	0	0%	0%
h) Foreign Venture Capital Funds	0	0	0	0%	0	0	0	0%	0%
i) Others (specify)	0	0	0	0%	0	0	0	0%	0%
SUB TOTAL (B)(1):	0	0	0	0%	0	0	0	0%	0%
(2) Non Institutions									
a) Bodies corporates									
i) Indian	0	0	0	0%	0	0	0	0%	0%
ii) Overseas	4201619	4685199	8886818	59.21%	4201619	4685199	8886818	59.07%	-0.14%
b) Individuals	0	0	0	0%	0	0	0	0%	0%
i) Individual shareholders holding nominal share capital upto ` .1 lakhs	14468	0	14468	0.10%	14468	0	14468	0.10%	0.00%
ii) Individuals shareholders holding nominal share capital in excess of ` .1 lakhs	40000	441559	481559	3.21%	40000	441559	481559	3.20%	-0.01%
c) Others (specify) Foreign Individual	657731	86473	744204	4.96%	657731	89219	746950	4.97%	0.01%
SUB TOTAL (B)(2):	4913818	5213231	10127049	67%	4913818	5215977	10129795	67%	0%
Total Public Shareholding (B)= (B)(1)+(B)(2)	4913818	5213231	10127049	67%	4913818	5215977	10129795	67%	0%
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	9795711	5213231	15008942	100%	9828211	5215977	15044188	100%	

(ii) SHARE HOLDING OF PROMOTERS

SI No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of shares	% of total shares of the company	% of shares pledged encumbered to total	No. of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Mr. Rajeev Samant	3545947	23.63%	0%	3578447	23.79%	0%	0.16%
2	Mrs Sulabha Samant	299000	1.99%	0%	299000	1.99%	0%	0.00%
3	Mr. Suresh Samant JT Mrs. Sulabha Samant	135498	0.90%	0%	135498	0.90%	0%	0.00%
4	Mr. Bharat Samant	5000	0.03%	0%	5000	0.03%	0%	0.00%
5	Mr. J.A Moos	1000	0.01%	0%	1000	0.01%	0%	0.00%
6	Mr. Ashwin Samant	407724	2.72%	0%	407724	2.71%	0%	-0.01%
7	Ms. Ruta Samant	487724	3.25%	0%	487724	3.24%	0%	-0.01%
	Total	4881893	32.53%	0%	4914393	32.67%	0%	0.14%

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	4881893	32.53%		
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	*			
	At the end of the year	4914393	32.67%		

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

Sl No.	Shareholders Name	Shareholding		Increase/Decrease in Share holding	Date	Reason	Cumulative Share holding during the	
		No. of shares at the beginning /end of the year	% of total shares of the company				No. of shares	% of total shares of the company
1	Verlinvest Asia Pte. Ltd.					Nil movement during the year		
	At the beginning of the year	3528455	23.51%	01.04.2019	3528455		23.45%	
	At the end of the year	3528455	23.45%	31.03.2020				
2	M/s. Verlinvest S.A					Nil movement during the year		
	At the beginning of the year	1438367	9.58%	01.04.2019	1438367		9.56%	
	At the end of the year	1438367	9.56%	31.03.2020				
3	M/s. Cofintra S.A					Nil movement during the year		
	At the beginning of the year	1438367	9.58%	01.04.2019	1438367		9.56%	
	At the end of the year	1438367	9.56%	31.03.2020				
4	M/s. Verlinvest France S.A.					Nil movement during the year		
	At the beginning of the year	1315913	8.77%	01.04.2019	1315913		8.75%	
	At the end of the year	1315913	8.75%	31.03.2020				
5	M/s. Mousserena LLP					Nil movement during the year		
	At the beginning of the year	618350	4.12%	01.04.2019	618350		4.11%	
	At the end of the year	618350	4.11%	31.03.2020				
6	Mr. Narain Girdhar Chanrai					Nil movement during the year		
	At the beginning of the year	447695	2.98%	01.04.2019	447695		2.98%	
	At the end of the year	447695	2.98%	31.03.2020				
7	Ms. Ursula Sumal					Nil movement during the year		
	At the beginning of the year	425834	2.84%	01.04.2019	425834		2.83%	
	At the end of the year	425834	2.83%	31.03.2020				
8	M/s. Saama Capital III Ltd					Nil movement during the year		
	At the beginning of the year	305506	2.04%	01.04.2019	305506		2.03%	
	At the end of the year	305506	2.03%	31.03.2020				
9	Mr. Sanjay Naraindas Kirpalani					Nil movement during the year		
	At the beginning of the year	190941	1.27%	01.04.2019	190941		1.27%	
	At the end of the year	190941	1.27%	31.03.2020				
10	M/s. Haystack Investment Ltd					Nil movement during the year		
	At the beginning of the year	132215	0.88%	01.04.2019	132215		0.88%	
	At the end of the year	132215	0.88%	31.03.2020				

(v) Shareholding of Directors

SI No.	Shareholders Name	Shareholding		Increase/Decrease in Share holding	Date	Reason	Cumulative Share holding during the	
		No. of shares at the beginning /end of the year	% of total shares of the company				No. of shares	% of total shares of the company
1	Mr. Rajeev Samant							
	At the beginning of the year	3545947	23.63%		01.04.2019			
				5500	28-05-2019	Conversion		
				12000	10-10-2019	Conversion	3578447	23.79%
				15000	14-11-2019	Conversion		
	At the end of the year	3578447	23.79%		31.03.2020			
2	Mr. J.A Moos							
	At the beginning of the year	1000	0.01%		01.04.2019	Nil movement during the year	1000	0.01%
	At the end of the year	1000	0.01%		31.03.2020			
3	Mr. Kerry Damskey							
	At the beginning of the year	2441	0.02%		01.04.2014			
				2746	07-06-2019	Allotment of sweat equity shares	5187	0.03%
	At the end of the year	5187	0.03%		31.03.2019			

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

(INR in lakhs)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel				TOTAL
		CEO	Company Secretary		CFO	
1	Gross Salary	-	*Shivani Chopra	**Sapna Karmokar	***Bittu Varghese Nellissery	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	-	8.97	12.41	64.74	86.12
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	0	0	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	0	0	0	0
2	Stock Option	-	0	0	0	0.00
3	Sweat Equity	-	0	0	0	0
4	Commission	-	0	0	0	0
	as % of profit	-	0	0	0	0
	others, specify	-	0	0	0	0
5	Others, please specify	-	0	0	0	0
	Total	-	8.97	12.41	64.74	86.12

* Ms. Shivani Chopra resigned from the Company with effect from 28.05.2019

** Ms. Sapna Karmokar was appointed as Company Secretary with effect from 18.09.2019

*** Mr. Bittu Varghese Nellissery was appointed as CFO with effect from 25.04.2019

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/Court)	Appeal made if any (give details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

NIL